JUN 2 8 2004

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M. 17 M. D.		Application Number	10/801,182			
TRANSMITTAL		Filing Date	March 15, 2004			
FORM		First Named Inventor	Peter Aschenbrenner			
(to be used for all correspondence after initial	al filing)	Art Unit	1753			
		Examiner Name	not yet known			
Total Number of Pages in This Submission	5	Attorney Docket Number	10031.000600 (S0034)			
	ENCLO	SURES (check all that apply)				
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Fee Attached	Licensi	ng-related Papers	Appeal Communication to Board of Appeals and Interferences			
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After Final		n to Convert to a onal Application	Proprietary Information			
		of Attorney, Revocation e of Correspondence Address	Status Letter			
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Certified Copy of Priority Document(s)	Remai	rks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Patrick D. Benedicto, Reg No. 40,909 OKAMOTO & BENEDICTO LLP						
Signature Pauci Be	Paris Bedico					
Date June 23, 2004	Date June 23, 2004					
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I hereby certify that this correspondence is Service with sufficient postage as first cl Alexandria, VA 22313-1450 on the date sho	ass mail in	imile transmitted to the USPTO of an envelope addressed to: Co	or deposited with the United States Postal mmissioner for Patents, P.O. Box 1450,			

Alexandra, VA 22313-143	of the date shown below.				
Typed or printed name	Patrick D. Benedicto				
Signature	Paristidu	Date	June 23, 2004		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter Aschenbrenner

Serial No. 10/801,182

Filed:

March 15, 2004

Title: Ventilated Photovoltaic Module

Frame

Examiner: not yet known

Art Unit: 1753

Atty. Dkt. No.: 10031.000600 (S0034)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §1.56 and §§1.97-98

Sir:

Pursuant to the provisions of 37 CFR 1.56 and §§1.97-98, enclosed herewith is form PTO/SB/08 (substitute for form 1449A/PTO) listing references which may be material to the examination of this application, and with respect to which there may be a duty to disclose. The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

A copy of each listed reference is enclosed herewith, unless no such copy is required pursuant to 37 CFR § 1.98(d).

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the
references cited therein are hereby listed but are not required to be provided in
this application under 37 CFR § 1.98(d).

Pursuant to 37 CFR §1.97, this Information Disclosure Statement is being submitted under the section indicated below.

$\boxtimes$	37 CFR §1.97(b).
	37 CFR §1.97(c). If so, then either:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR  no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56 (c) more than three months prior to the filing of this Statement.  OR  Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).
	37 CFR § 1.97(d). If so, then both:  Applicant certifies pursuant to 37 CFR § 1.97(e) that either:  each item of information contained in this Information  Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more that three months prior to the filing of this Statement; OR  no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.  AND  Applicant submits the petition fee of \$180 as set forth in 37 CFR § 1.17(p).

## Consideration of the listed references and favorable action are respectfully solicited.

Respectfully submitted, Peter Aschenbrenner

Dated: June 23, 2004

By:

ParinBerN

Patrick D. Benedicto
Attorney For Applicant(s)
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Tel.: (408) 436-2110 Fax: (408) 436-2114

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

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Complete if Known			
Application Number	10/801,182		
Filing Date	March 15, 2004		
First Named Inventor	Peter Aschenbrenner		
Art Unit	1753		
Examiner Name	not yet known		
Attorney Docket Number	10031.000600 (S0034)		

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	Α	US- 4,942,862	Jul. 24, 1990	Pierce-Bjorklund	
	В	US- 5,571,338	Nov. 5, 1996	Kadonome, et al.	
	С	US- 5,589,006	Dec. 31, 1996	Itoyama	
	D	US- 5,951,785	Sept. 14, 1999	Uchihashi, et al.	
	Ε	US- 6,111,189	Aug. 29, 2000	Garvison, et al.	
	F	US- 6,342,669	Jan. 29, 2002	Sakai, et al.	
	G	US- 6,360,491	Mar. 26, 2002	Ullman	
	Н	US- 6,410,843	June 25, 2002	Kishi, et al.	
	1	US- 6,465,724	Oct. 15, 2002	Garvison, et al.	
	J	US- 6,552,258	Apr. 22, 2003	Kinoshita, et al.	
	К	US- 2002/0046506 A1	Apr. 25, 2002	Uilman	
	_ L	US- 2002/0101662 A1	June 5, 2003	Ullman	
	М	US- 2002/0111103 A1	June 19, 2003	Bower, et al.	
	<u>.</u>				

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where Relevant	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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